

Conflict of Interest Code
of the

**METROPOLITAN TRANSPORTATION AUTHORITY (MTA)/ PUBLIC
TRANSPORTATION SERVICES CORPORATION**

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations,
Section 18730) by Reference

The Political Reform Act requires each state and local government agency to adopt a conflict of interest code. The provisions of Title 2, California Administrative Code, Section 18730, subdivision (b), adopted by the FPPC as a model conflict of interest code, as that regulation reads on the date of adoption of this chapter and as it may be amended from time to time by the FPPC, are hereby adopted and incorporated herein by this reference as the Conflict of Interest Code for the MTA and PTSC. Designated positions of MTA officers subject to disclosure requirements of this chapter shall be set forth in an Appendix A to the Conflict of Interest Code. Said Appendix A, as amended from time to time by the Ethics Officer as provided in this chapter, is incorporated into the Conflict of Interest Code by this reference.

Place of Filing of Statements of Economic Interests

Each MTA officer holding a position designated in the Appendix A to the Conflict of Interest Code shall file with the Ethics Officer statements disclosing the information required by the disclosure categories set forth in this chapter on such forms as may be specified by the FPPC. Copies of the forms to be used shall be supplied by the Ethics Officer. Every MTA officer holding a position designated in Appendix A to the Conflict of Interest Code shall retain his or her filing obligations, notwithstanding any reclassification or title change that may occur in the future as to the same of substantially similar job duties.

THE LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION
AUTHORITY/PUBLIC TRANSPORTATION SERVICES CORPORATION-
CONFLICT OF INTEREST-STATUTORY FILERS*

Board of Directors (PTSC & Metro Board Members and Ex-Officio Members)

United Transportation Union Retirement Income Plan (UTU) Board Members

Maintenance Employees Transportation Union Retirement Income Plan (ATU)

Board Members

Transportation Communication Union Retirement Income Plan (TCU) Board
Members

American Federation of State, County & Municipal Employees (AFSCME)
Pension Trustees

Non-Contract Employees Retirement Income Plan Board Members

*Full disclosure for statutory filers pursuant to Code Sections 87200-87202.
The Investment Board(s), acting under an agreement of trust with the MTA and following specific investment guidelines, is responsible for the investment and reinvestment of the Trust Fund(s) and has control of the monies of the Plan(s), sells investments, reinvests proceeds and may contract with insurance companies, corporate trustees, investment counsels or other professional advisors to handle investments of monies for the Plan(s) of the agency. The Trustees of the MTA Investment Board(s) are appointed by and hold office at the pleasure of the MTA Board of Directors or its designated representative and the Union Trustees are appointed by and hold office at the pleasure of their respective Unions.

**METROPOLITAN TRANSPORTATION AUTHORITY (MTA)/PUBLIC
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EXHIBIT "A"

CATEGORY 1

Persons holding designated positions in this category shall disclose all interest in real property within the jurisdiction of Los Angeles County. Real property shall be deemed to be within the jurisdiction if the property or any part of it is located within or not more than two miles outside the boundaries of the jurisdiction or within two miles of any land owned or used by the MTA.

Persons are not required to disclose property used primarily as their residence or for personal recreational purposes.

CATEGORY 2

Persons holding designated positions in this category shall disclose all income (including gifts, loans and travel payments), investments and business positions.

CATEGORY 3

Persons holding designated positions in this category shall disclose all income (including gifts, loans and travel payments), which they or their spouse received from, or investments or business positions which they or their spouse have with, any labor union, labor union representative, employee association, employee association representative, MTA (including PTSC) employee, or entity that is owned or controlled by any such persons or entities.

CATEGORY 4

Persons holding designated positions in this category shall disclose all business positions and investments in, or income (including gifts, loans and travel payments), received from business entities that manufacture, provide or sell service and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned to this disclosure category.

**METROPOLITAN TRANSPORTATION AUTHORITY (MTA)/PUBLIC
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EXHIBIT "A" (Cont'd)

CATEGORY 5

Individuals who perform under contract the duties of any position designated in Appendix A of the Conflict of Interest Code shall be subject to the provisions of the Conflict of Interest Code and shall disclose reportable interests in categories assigned to that designated position. In addition, individuals who, under contract, participate in decisions, by providing information, advice, recommendations, or counsel to the agency, which could affect their financial interests, shall be subject to the provisions contained in this Chapter (unless they are not "consultants" as defined by the Political Reform Act). Such consultants shall disclose pursuant to the broadest disclosure category in the Conflict of Interest Code, subject to the following limitation: The Chief Executive Officer, a Deputy Executive Officer, or the Ethics Officer, may determine that a particular consultant, although in a "designated position", is retained to perform a range of duties that is limited in scope and thus is not required to comply fully with the disclosure requirements described in this chapter. Any such determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of the disclosure requirements. The determination of the Chief Executive Officer, Deputy Executive Officer or Ethics Officer, is a public record and shall be retained for public inspection by the Ethics Officer. (See footnote for clarification.)

Individuals employed by any of the following entities and who perform the duties of any position designated in Appendix A of the Conflict of Interest Code shall be subject to the provisions of the Conflict of Interest Code and shall disclose reportable interests in categories assigned to that designated position:

- Los Angeles Service Authority for Freeway Emergencies (LA SAFE)
- Crenshaw Project Corporation (CPC)

**Metropolitan Transportation Authority /
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Appendix “A” - Conflict of Interest Code / Designated Filers 2014/15

<u>Designated Positions</u>	<u>Job Class</u>	<u>Disclosure Categories</u>
ACCOUNT EXECUTIVE	5027	1 & 2
ACCOUNTING MGR	1005	4
ACCOUNTING SUPERVISOR	1039	4
ACCOUNTS SUPERVISOR	5028	2
ACQUISITION POLICY OFCR	2415	2
ADA COMPLIANCE & PARATRANSIT ADMINISTRATOR	1230	4
ADA COMPLIANCE MANAGER	1229	2
ADA PARATRANSIT PROGRAM ADMINISTRATOR	1231	4
ADMIN AND FINANCIAL SERVICES MGR	1208	1 & 2
ADMIN DIR, OFC OF THE CEO	4328	1 & 2
ARCHITECT	1402	1 & 2
ASSISTANT AUDITOR	5606	4
ASSISTANT COUNTY COUNSEL	9994	1 & 2
ASSISTANT ETHICS OFFICER	4238	1 & 2
ASSISTANT MANAGER OF WAYSIDE SYSTEMS	7210	2
ASSISTANT MGR EQUIPMENT MAINTENANCE	4014	4
ASSOCIATE COUNTY COUNSEL	9990	1 & 2
ASST BOARD SECRETARY	4215	2
ASST CONTRACT ADMINISTR	2405	1 & 2
ASST DIR OF FACILS MAINT	4602	1 & 2
ASST DIR, GOVT RELATIONS	5809	1 & 2
ASST DIR, INVENTORY MGMT	2406	4
ASST DIR, UNION STATION PROPERTY MGMT	4814	1 & 2
ASST DIVRSTY & ECON OPRTNTY REP	2601	1 & 2
ASST MAINT OPNS MGR	9656	3 & 4
ASST MGR CUSTODIAL SERVICES	4807	4
ASST MGR RAIL DIV TRANSP	7204	2
ASST MGR RAIL FLEET SVC	7211	2
ASST PROJECT MGR, TRANSIT PROJECT DELIVERY	4620	1 & 4
ASST PUBLIC COMM OFFICER	5036	2
ASST TREASURER	1011	1 & 2
AUDIT MGR	5603	1 & 2
AUDIT SUPPORT MGR	5612	1 & 2
AUDIT SUPPORT SUPV	5613	1 & 2
AUDITOR	5605	1 & 2
BLDG SERVICES MGMT ANALYST	4808	4
BOARD MEMBER	9998	1 & 2
BOARD SECRETARY	4210	1 & 2
BUDGET MANAGEMENT ANALYST V	1061	4
BUDGET MGR	1051	2

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<u>Designated Positions</u>	<u>Job Class</u>	<u>Disclosure Categories</u>
BUILDING SERVICES MGR	4801	4
BUYER	6213	2
CASH COUNTING SUPV	1009	4
CHANGE CONTROL MANAGER	6406	2
CHIEF ADMIN ANALYST	1205	2
CHIEF ADMIN SERVICES OFFICER	4303	1 & 2
CHIEF AUDITOR	5600	1 & 2
CHIEF COMMUNICATIONS OFFICER	4999	1 & 2
CHIEF ETHICS OFFICER/MGR	4228	1 & 2
CHIEF EXEC OFCR	4211	1 & 2
CHIEF FINANCIAL SERVICES OFFICER & TREASURER	4302	1 & 2
CHIEF INFORMATION OFFICER	5410	1 & 2
CHIEF OF TRANSIT SECURITY	8824	2
CHIEF OPERATIONS OFFICER	4196	1 & 2
CHIEF PLANNING OFFICER	4203	1 & 2
CHIEF POLICY OFFICER	4254	1 & 2
CHIEF, REAL PROPERTY MANAGEMENT & DEVELOPMENT	7598	1 & 2
CITATION ENFORCEMENT OFFICER MANAGER	4243	1 & 2
CITATION PROGRAM SUPPORT ADMINISTRATOR	4244	2
CIVIL RIGHTS PROGRAM MGR	1235	2
COMM & CNTRL SYS ENGR II	3817	4
COMM & CONTRL SYS ENGR I	3818	4
COMM CUSTOMER INFO MGR	5017	4
COMMITTEE MEMBER	N/A	1 & 2
COMMUNICATIONS MGR	5003	2
COMMUNITY RELATIONS MGR	5033	4
COMMUNITY RELATIONS OFFICER	5022	4
CONFIG DOC CONTROL MGR	6425	2
CONFIG MGMT MGR	6408	1 & 2
CONSTRUCTION INSPECTOR	3812	1 & 2
CONSTRUCTION MGR	2001	1 & 2
CONSTRUCTION SAFETY ENGR	8105	1 & 2
CONSTRUCTION SAFETY MGR	8107	1 & 2
CONTRACT ADMINISTRATOR	2404	1 & 2
CONTRACT ADMIN MGR	2402	1 & 2
CONTRACT PRE-QUAL MANAGER	5620	1 & 2
CONTRACT SERVICES FLD REP	9630	4
CONTRACTOR REVIEW ANALYST	5610	4
CONTROLLER	1016	1 & 2

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CORP SFTY MGMT ANALYST	8046	1 & 2
COST ESTIMATING MGR.	6399	1 & 2
COST ESTIMATOR	6404	4
COST/SCHEDULE ASST	6432	2
COUNTY COUNSEL	9994	1 & 2
CREATIVE DESIGN MANAGER	8416	2
CREATIVE DIRECTOR	8415	1 & 2
CREATIVE SERVICES MANAGER	8413	2
CUSTOMER COMMUNICATIONS MANAGER	3023	2
CUSTOMER PROG & SERVICES SUPV	5050	2
DATABASE ADMIN MANAGER	5425	2
DEBT MANAGER	1030	1 & 2
DEO, ADMINISTRATION	4329	2
DEO, AUDIT	5615	1 & 2
DEO, CNTYWIDE PLNG & DEVLPMNT	9929	1 & 2
DEO, COMMUNICATIONS	5006	1 & 2
DEO, COMMUNITY RELATIONS	5013	2
DEO, CONST & PROJ MGMT SUPPORT	2005	1 & 2
DEO, CORPORATE SAFETY	8020	1 & 2
DEO, CREATIVE SERVICES	5018	2
DEO, DIVERSITY & ECONOMIC OPPORTUNITY	2411	1 & 2
DEO, EMP & LABOR RELATIONS	3611	2
DEO, ENGRG	3801	1 & 2
DEO, ENTRPRS ARCH & INFRASTRUCTURE	5444	2
DEO, ENTRPRS INFO MGMT	5445	2
DEO, ENVIRON COMPL SERV	2209	1 & 2
DEO, FEDERAL ADVOCACY & GOV REL	5818	1 & 2
DEO, FINANCE	1025	1 & 2
DEO, GENERAL SERVICES	4806	1 & 2
DEO, HIGHWAY OPERATIONS	4179	1 & 2
DEO, HUMAN RESOURCES	5200	1 & 2
DEO, OPERATIONS	4217	1 & 2
DEO, OPNS ADMIN & FINCL MGMT SRVCS	9651	1 & 2
DEO, P3 HIGHWAY PROGRAM	4180	1 & 2
DEO, PRJ MGT	4206	1, 2 & 3
DEO, PROCUREMENT	6202	1 & 2
DEO, PUBLIC RELATIONS	5024	2
DEO, RAIL OPS	7003	1 & 2
DEO, REAL ESTATE	7600	1 & 2
DEO, STRATEGIC DEVELOPMENT FACILITIES/OPERATIONS	4249	1 & 2
DEO, SYS SUPRT & MGMT REPTG	9650	1 & 2

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DEO, WAYSIDE SYST ENGRG & MAINT	7220	1 & 2
DEP COUNTY COUNSEL	9995	1 & 2
DEP EXEC DIR, TRANSIT PROJ DLVRY	4622	1 & 2
DEPUTY CHIEF EXEC OFCR	4212	1, 2 & 3
DEPUTY CHIEF OPNS OFCR	4184	1 & 2
DEPUTY CIO	5442	1 & 2
DEPUTY EXEC OFCR, CSTR/ENG	2003	1 & 2
DEPUTY INSP GEN – AUDIT	1102	1 & 2
DEPUTY INSP GEN – INVESTG	1103	1 & 2
DESIGNATED CONSULTANT/NEW POSITION*	9997	5
DIR, CIVIL RIGHT PROG COMPLIANCE	1234	2
DIR, COMMUNITY RELATIONS	5051	1 & 2
DIR, COMMUNIC SRVCS	5040	1 & 4
DIR, COMMUNICATIONS	5055	1 & 2
DIR, CONSTRUCTION MGMT	2002	1 & 2
DIR, CONSTRUCTION SAFETY	8106	1 & 2
DIR, CUST PROGRAMS & SERVICES	5038	1 & 2
DIR, DIVERSITY & ECO OPPTY	2400	1 & 2
DIR, ENVIRON COMPL SERV	2210	2
DIR, EQUIP/VEHICLE ACQUISITION	3820	2
DIR, FACILITIES MAINT	4601	1 & 2
DIR, FIN & ADMIN MGMT SVCS	9652	2
DIR, GEN LIABILITY CLAIMS ADMIN	8038	1 & 2
DIR, GENERAL SERVICES	4812	2, 3
DIR, HUMAN RESOURCES	5214	2
DIR, HUMAN SERVICES	4800	2
DIR, MATERIAL MANAGEMENT	6226	3
DIR, METRO COMMUTER SERVICES	5042	1 & 2
DIR, OPERATIONS SYSTEM SUPPORT	9642	2
DIR, OPERATIONS TRAINING & IMPROV	9643	2
DIR, OPS SUPPORT	9626	2
DIR, PROJECT CONTROL	6424	2
DIR, PROJ ENGRG	3814	1 & 2
DIR, PUBLIC ARTS & DESIGN	5052	1 & 4
DIR, RAIL TRANSPORTATION OPERATION	7009	1 & 2
DIR, RAIL VEH ACQUISITION & MAINT	7218	2
DIR, REGIONAL SERVICE COUNCILS	5053	1 & 2
DIR, REVENUE COLLECTIONS	1040	1 & 2
DIR, SECURITY	8820	1 & 2
DIR, SERVICE PERFORMANCE & ANLS	8200	1 & 2
DIR, SFTY CERT & OPS MGMT	8043	1 & 2
DIR, SPECIAL PROJECTS	4226	2

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DIR, STRATEGIC PLNG	5430	1 & 2
DIR, TAP TECHNOLOGY SYSTEMS	3824	1 & 2
DIR, TRAINING & DEVL	5231	2
DIR, TRANSIT SYS SAFETY	8044	4
DIR, TRANSP PROGRAM DEVELOPMENT	5039	1 & 2
DIR, UNION STATION PROPERTY MGMT	4811	1 & 2
DIR, WAYSIDE SYSTEMS	7214	1 & 2
DIR, WC CLAIMS ADMINISTRATION	8039	1 & 2
DIR OF ACCOUNTING	1018	1 & 2
DIR OF AUDIT	5602	1 & 2
DIR OF BUDGET	1050	1 & 2
DIR OF CAPITAL PROJECTS, TRANSIT PROJECT DELIVERY	4621	1 & 2
DIR OF CENTRAL MAINT	4600	1 & 2
DIR OF CONFIGURATION SYS	6407	1 & 2
DIR OF CONTRACT ADMNSTRN	2401	1 & 2
DIR OF CORPORATE SAFETY	8031	2
DIR OF COST ESTIMATING	6397	1 & 2
DIR OF CUSTOMER RELATIONS	3014	2
DIR OF EQUIPMENT ENGRG	3301	1 & 2
DIR OF EQUIP MAINT	4004	1 & 2
DIR OF GOVT RELATIONS	5820	1 & 2
DIR OF INVENTORY MGMT	6223	1 & 2
DIR OF ITS	5420	1 & 2
DIRECTOR OF OPERATIONS & SERVICE DELIVERY	5428	2
DIR OF PROGRAM MANAGEMENT	6422	1 & 2
DIR OF PURCHASING	6220	1 & 2
DIR OF QUALITY MGMT	6806	1 & 2
DIR OF REAL PROP MGMT & DVLOPMNT	7602	1 & 2
DIR OF SCHED, SRVC DVLPMNT, AND STAFNG	7217	1 & 2
DIR OF SOCIAL MEDIA	5061	2
DIRECTOR, BUSINESS INTERRUPTION FUND PROGRAM	N/A	1 & 2
DIRECTOR COUNTYWIDE PLANNING & DEVELOPMENT	9907	1 & 2
DIRECTOR OF INFORMATION TECHNOLOGY	5431	2
DIRECTOR OF STRATEGIC & ORGANIZATIONAL PLANNING	1056	1 & 2
DIRECTOR, SYSTEM ARCHITECTURE & TECHNOLOGY INTEGRATION	5429	1 & 2
DIRECTOR OF TRANSIT SECURITY CONTRACT COMPLIANCE	8826	1 & 2

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DIRECTOR, TRANSPORTATION CONTRACT SERVICES	9636	1 & 2
DIV & ECON OPPT MGR	2410	1 & 2
DIV & ECON OPPT REP	2409	4
DIV MAINT SUPRNTDNT	9600	3 & 4
DIV PERF SUPPORT MGR	7008	2
DOCUMENT PRODUCTION SUPV	8212	4
EEO PROG INVESTIGATOR	2602	3 & 4
EMPLOYEE/LABOR REL ADMIN	3609	2
EMPLOYEE/LABOR RELS REP	3605	3 & 4
EMRGNCY & HMLND SEC PREP MGR	8821	1 & 2
ENGINEER	3807	1 & 2
ENGINEERING ASSOC	3810	4
ENVIRONMENTAL COMPLIANCE & SERVICE MANAGER	2200	1 & 2
ENVIRONMENTAL SPECIALIST	2205	1 & 2
EO, CONGESTION REDUCTION INITIATIVE	9930	1 & 2
EO, CORPORATE SAFETY	8042	1 & 2
EO, COUNTYWIDE PLNG & DEVLPMNT	9928	1 & 2
EO, DIV & ECON OPPT	2413	1 & 2
EO, FINANCE	4301	1 & 2
EO, HIGHWAY PROGRAMS	4186	1 & 2
EO, HIGHWAY PROJ MGMT	4192	1 & 2
EO, OPNS ADMIN	4299	1 & 2
EO, PROC & MATL MGMT	6199	1 & 2
EO, PROJECT MGMT	4199	1 & 2
EO, PROJECTS ENGNRG	4193	1 & 2
EO, RAIL VEH MAINT	7222	1 & 2
EO, RAIL WAYSIDE SYS	7221	1 & 2
EO, REGIONAL RAIL	4191	1 & 2
EO, RISK MGMT	8000	1 & 2
EO, WORKFORCE SVCS	4300	2
EQUAL OPRTNY & CIVIL RIGHTS PRGRM MGR	2604	2
EQUIPMENT ENGRG MGR	3304	2
EQUIPMENT ENGRG SUPV	3303	2
EQUIPMENT MAINT MGR	4005	2
EQUIPMENT MAINTENANCE INSTRUCTION MANAGER	4015	3 & 4
EQUIPMENT MAINTENANCE SUPERVISOR	4007	2
EQUIPMENT SERVICE SUPERVISOR	4008	4
ETHICS OFFICER	4223	1 & 2
EXEC DIR, EMP & LABOR RELS	5101	1, 2 & 3
EXEC DIR, ENGNRG & CONSTRCTN	4175	1 & 2

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EXEC DIR, ENTERPRISE RISK & SFTY MGMT	4177	1 & 2
EXEC DIR, FINANCE & BUDGET	4174	1 & 2
EXEC DIR, GOVT & COMM RELS	4998	1 & 2
EXEC DIR, HIGHWAY PROJ DLVRY	4185	1 & 2
EXEC DIR, MAINT	4188	1 & 2
EXEC DIR, MGMT, BUDGET & LOCAL PRGM	4304	1 & 2
EXEC DIR, PROJ MGMT OVERSIGHT	4187	1 & 2
EXEC DIR, RAIL OPNS	4182	1 & 2
EXEC DIR, STRAT WRKFRC PLNG	4183	1 & 2
EXEC DIR, SVC DVLPMNT, SCHDLNG & ANALYSIS	8230	1 & 2
EXEC DIR, TRANSP	4189	1 & 2
EXEC DIR, TRANSIT PROJ DELIVERY	4194	1 & 2
EXEC DIR, VENDOR/CONTRACT MGMT	4176	1 & 2
EXEC OFCR, PROJ CONTROL & ADM	6427	1 & 2
EXECUTIVE OFFICER, HUMAN RESOURCES	5199	1 & 2
EXECUTIVE OFFICER, SYSTEM SECURITY AND LAW ENFORCEMENT	8825	1 & 2
EXECUTIVE OFFICER, VENDOR/CONTRACTS MANAGEMENT	4171	1 & 2
EXTERNAL AFFAIRS MGR	5056	2
FACILITIES MAINT SUPV	4606	4
FACILITIES MAINTENANCE MANAGER	4604	1 & 4
FEDERAL AFFAIRS REP	5817	1, 2 & 3
FINANCE MGR	1045	1 & 2
FINANCIAL ANALYST	1036	2
FORENSIC COMPUTER ADMNSTRATOR	1112	1 & 2
FORENSIC INFO TECH MGR	1114	1 & 2
GENERAL MANAGER	9653	1 & 2
GENERAL SERVICES MANAGER	4803	2
GENERAL SERVICES SUPERVISOR	4802	1 & 2
GOVERNMENT RELATIONS MGR	5802	2
GRIEVANCE HIRING MGR	3614	2
HEARING OFFICER	4246	1 & 2
HIGHWAY OPS PROG ADMIN	9887	1 & 2
HIGHWAY OPS PROG MGR	9889	1 & 2
HUMAN RESOURCES MGR	5212	2
HUMAN RESOURCES SUPERVISOR	5206	3 & 4
INDUSTRIAL HYGIENE SFTY MGR	8045	1 & 2
INSPECTOR GENERAL	1107	1 & 2
INSPECTOR GENERAL INVSTGR	1106	1 & 2
INVENTORY CONTROL SUPV	6207	4
INVESTIGATIONS MGR	1109	1 & 2

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INVESTIGATIONS SUPERVISOR	1108	1 & 2
INVESTIGATOR, WC INVESTIGATION	8029	2
ITS ADMIN/PLANNING MGR	5440	2
LABOR RELATIONS ARBITRATION MGR	3613	1 & 2
LABOR RELS ARBITRA SPCLST	3607	3 & 4
LEGAL SERVICES MANAGER	8609	4
MAINT MANAGER	9622	2
MAINT OPS MGR	9655	3 & 4
MANAGER, WORKERS COMP INVESTIGATION	8027	1 & 2
MARKETING & COMMUNICATIONS OFFICER	5023	4
MATERIAL PLANNING MGR	6218	2
MATERIEL MGR	6209	2
MATERIEL SUPV	6211	4
MEDICAL STANDARDS AND COMPLIANCE ADMINISTRATOR	5229	2
MGR, EMPLOYEE & LABOR RELS	3612	3
MGR, INV., INSP., & REVIEWS	1113	1 & 2
MGR, PRGRM MGMT OFFICE	6431	2
MNGNG EO, CNTYWIDE PLNG & DVLPMNT	4178	1 & 2
MNGNG EO, COMMUNICATIONS	4997	1 & 2
MNGNG EO, CONSTRCTN & ENGNRNG	4173	1 & 2
MNGNG EO, VENDOR/CONTRACT MGMT	6227	1 & 2
MOTORIST SVCS PROG ADMIN	9893	1 & 2
MOTORIST SVCS PROG MGR	9892	1 & 2
MTRST SERVICES TECHNICAL ADMINISTRATOR	9895	4
NETWORK ADMINISTRATOR	3809	4
NETWORK ENGINEER	3808	4
NETWORK SUPPORT MANAGER	5434	2
NETWORK SUPPORT SUPERVISOR	5435	2
OFFICE SUPERVISOR	8614	4
OPRNS & MAINT SYS/SAF MGR	8104	1 & 2
OPERATIONS COMMUNITY RELS MGR	9645	1 & 4
OPERATIONS SYST SUPPORT ADMNSTR	9644	1 & 4
OPRNS PERF ANALYSIS MGR	9616	2
OPS ASSTNT INSTR MGR	9640	2
OPS CONTROL CENTER MGR	9607	2
PENSION & BENEFITS MGR	5210	2
PENSION & BENEFITS SUPERVISOR	5225	1 & 2
POLICY, RESEARCH, & LIBRARY SERVICES ADMINISTRATOR	5823	4
PRNCPL ACCOUNTANT	1017	2
PRINCIPAL AUDITOR	1115	1 & 2

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PRINCIPAL CONTRACT ADMIN	2414	1 & 2
PRNCPL DEP COUNTY COUNSEL	9991	1 & 2
PRNCPL DEPT SYSTEMS ANALYST	5454	4
PRNCPL DIV & ECON OPP REP	2416	1 & 2
PRINCIPAL ENVIRONMENTAL SPECIALIST	2207	1 & 2
PRNCPL ETHICS OFFICER	4229	1 & 2
PRINCIPAL FINANCIAL ANALYST	1059	1 & 2
PRINCIPAL HEARING OFFICER	4245	1 & 2
PRNCPL HR ANALYST	5227	1 & 2
PRNCPL INDUSTRIAL HYGIENIST	8035	2
PRNCPL INVSTGTR, WC INVSTGTNS	8036	2
PRNCPL REAL EST OFCR	7603	1 & 2
PRNCPL TRAINING & DEV SPCLST	5233	4
PRINCIPAL RISK ANALYST	8030	1 & 2
PRINCIPAL SFTY SPCLST	8047	1 & 4
PRINCIPAL TECH. ESTIMATOR	6400	2
PRINTING SERVICES SUPV	8401	4
PROCUREMENT ADMIN SUPV	6217	1 & 2
PROCUREMENT PLNG ADMNSTRTR	2412	2
PRODUCTION MANAGER	8414	2
PROJ MGR, GENERAL SVCS	4810	4
PROJ MGR, P3 HIGHWAY PROGRAM	4181	1 & 2
PROJ MGR, REAL ESTATE	7605	1 & 2
PROJ MGR, TRNST CAPTL PROJ	4623	2
PROJECT CONTROL MGR	6409	2
PROJECT CONTROL SUPV	6420	2
PROJECT ENGINEER	3805	2
PROJECT ENGINEERING MGR.	3813	2
PROJECT MGR, ENGNRG & CONSTRCTN	4619	2
PROPERTY SALES COORD	6208	4
PUBLIC COMM OFCR	6706	2
PURCHASE CONTRACT MGR	6205	2
QUALITY ASSURANCE MGR	6805	2
RAIL COMMUNICATIONS SUPV	4605	4
RAIL TRANSP MGR	7203	2
RAIL EQUIP MAINT SUPV	7005	4
RAIL FLEET SVC MGR	7002	2
RAIL FLEET SVC WARNTY QUAL MGR	6800	2
RAIL INTEGRATION AND INSTRUCTION MANAGER	7212	2
RAIL OPNS SUPPORT MGR	9628	4
RAIL SIGNAL SUPV	4609	4

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<u>Designated Positions</u>	<u>Job Class</u>	<u>Disclosure Categories</u>
RAIL TRACK SUPV	4608	4
RAIL TRACTION SUPV	4610	4
REAL ESTATE OFFICER	7607	1 & 2
RECORDS & INFO MGMT MGR	7801	4
RECORDS MGMT SUPV	7802	4
REVENUE AUDIT SUPERVISOR	1038	2
REVENUE EQUIPMENT SUPV	1044	4
RIDESHARE PRGRM ADMIN	4815	2
RISK FINANCING MGR	8021	1 & 2
SAFETY CERTIFICATION & OPERATIONS MANAGER	8102	1 & 2
SAFETY COMPLIANCE INSP	5633	1 & 2
SAFETY SPECIALIST	8006	4
SCHEDULE CHECKING SUPV	8206	4
SCHEDULES SUPV	8207	4
SCHEDULING SYSTEMS SUPERVISOR	8205	4
SECTOR COUNCIL MEMBER	9996	1 & 2
SENIOR INSPECTOR GENERAL INVESTIGATOR	1105	1 & 2
SERVICE OPS SUPRNTDNT	9610	1 & 2
SOFTWARE ENGINEER	5421	2
SPEC ASST TO CEO I	4239	1 & 2
SPEC ASST TO CEO II	4253	1 & 2
SPECIAL ASST TO COO	4255	1 & 2
SR. ACCOUNT EXECUTIVE	5026	4
SR ACCOUNTANT	1004	4
SR ACCOUNTS REP	3010	4
SR ADMIN ANALYST	1212	4
SR ARCHITECT	1401	1 & 2
SR ASST CNTY COUNSEL	9974	1 & 2
SR AUDITOR	5604	1 & 2
SR BUYER	6212	1 & 2
SR CASH COUNTING SUPV	1010	4
SR COMMUNITY RELATIONS OFFICER	5031	4
SR CONF MGMT ANALYST	6415	2
SR CONSTRUCTION INSPECTOR	3825	2
SR CONTRACT ADMIN	2403	1 & 2
SR CONTRACTR REV ANALYST	5614	1 & 2
SR COST ESTIMATOR	6403	1 & 2
SR COST/SCHEDULE ANALYST	6412	1 & 2
SR CUSTOMER SERVICE OFFICER	3017	4
SR DEP COUNTY COUNSEL	9992	1 & 2

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<u>Designated Positions</u>	<u>Job Class</u>	<u>Disclosure Categories</u>
SR DEPARTMTL SYS ANALYST	5450	4
SR DEV & TRAINING SPEC.	5221	4
SR DIVERSITY & ECONOMIC OPPORTUNITY REP	2407	1 & 2
SR EEO PROG INVESTIGATOR	2603	3 & 4
SR EMPLOYEE/LABOR RELS REP	3604	2
SR ENGINEER	3806	1 & 2
SR ENVIRONMNTL SPCLST	2203	1 & 2
SR ETHICS OFFICER	4218	1 & 2
SR FINANCIAL ANALYST	1037	2
SR HIGHWAY OPS PROG ADMIN	9890	1 & 2
SR HIGHWAY OPS PROG MGR	9888	1 & 2
SR HR ANALYST	5217	4
SR INVSTGR, WC INVESTGN	8028	2
SR MARKETING AND COMMUNICATIONS OFFICER	5034	4
SR NETWORK ENGINEER	5439	4
SR NETWORK SUPRT ANALYST	5446	4
SR PENSION & BENEFITS ANALYST	5224	2
SR PL/PD ANALYST	8014	1 & 2
SR PROGRAM MGMT ANALYST	6418	2
SR PROGRAMMER ANALYST	5412	4
SR PROJECT CONTROL MGR	6426	2
SR PUBLIC COMMUNICATIONS OFFICER	6705	4
SR QUALITY ENGINEER	6809	1 & 2
SR REAL ESTATE OFCR	7606	1 & 2
SR RISK ANALYST	8015	2
SR SAFETY SPECIALIST	8019	1 & 2
SR SCHEDULE CHKG SUPV	8204	4
SR SOFTWARE ENGINEER	5441	4
SR SYSTEMS PROJ MGR	5443	4
SR THIRD PARTY ADMIN	9401	1 & 2
SR WORKERS' COMP ANALYST	8012	4
SR. CONSTRUCTION MGR.	6413	1 & 2
SR. ENGINEERING MGR.	3799	1 & 2
SR. INDUSTRIAL HYGIENIST	8008	4
STOPS & ZONES SUPV	4614	1 & 2
SUPERVISING ARCHITECT	1406	1 & 2
SUPERVISING ENGINEER	3804	1 & 2
SUPVG AUDITOR	5608	1 & 2
SVC DVLPMNT MGR	8202	1 & 2
SYSTEMS & ANALYTICS MGR	5228	4

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<u>Designated Positions</u>	<u>Job Class</u>	<u>Disclosure Categories</u>
SYSTEMS MAINT MGR	5436	2
SYSTEMS MAINTENANCE SUPV	5432	4
SYSTEMS PROGRAMMER	5406	4
SYSTEMS PROGRAMMING SUPV	5405	4
SYSTEMS PROJECT MGR	5404	2
SYSTEMS SAFETY MGR	8103	1 & 2
TAP ANNUAL PASS PRGRM MGR	5060	4
TAP COMMUNICATIONS MGR	5057	4
TAP INFORMATION MGR	5058	4
TAP REGIONAL SALES MGR	3012	1 & 2
TEL SYSTEM INTEGRATION MGR	5419	1 & 2
THIRD PARTY ADMINISTRATION MANAGER	9403	1 & 2
THIRD PARTY ADMINISTRATOR	9402	1 & 2
THIRD PARTY ADMIN SUPERVISOR	9405	2
TRAINING & DEVLPMNT MGR	5232	2
TRANSIT RESOURCE PLANNING MGR	8228	1 & 2
TRANSIT SECURITY LIEUT	8817	2
TRANSIT SECURITY SGT	8816	4
TRANSPORTATION CONTRACT SERVICES MANAGER	9627	1 & 2
TRANSP FUNDING MANAGER	9912	1 & 2
TRANSP MANAGER	9604	2
TRANSP OPNS MGR	9654	4
TRANSP PLNG MGR III	9899	1 & 2
TRANSP PLNG MGR V	9894	1 & 2
TRANSP PLNG MGR IV	9900	1 & 2
TRANSP SUSTAINABILITY ENERGY MGR	6430	2
TRAVEL PROGRAM ADMIN	4813	2
TRNST SECRTY TECH GRNTS PRGRM MGR	8823	2
VEHICLE ACQUISITION MGR	3819	2
VEHICLE OPNS MGR	9658	4
WAYSIDE SYSTEMS MANAGER	7213	1 & 2
WEB SYSTEMS DEVELOPER	5059	4
WEBMASTER	5032	4
WORKERS' COMP SUPV	8022	2

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*Consultants/New Positions are included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitations:

The Chief Executive Officer or his or her designee may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with disclosure requirements in this section. Such written determination shall include a description of the consultant’s or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Chief Executive Officer or his or her designee’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code. (Gov. Code Section 81008.)

EFFECTIVE DATE: 04/15/2015